

Annex A

EU Regulations 2007-2013

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EU Regulations

This annex sets out the key EU regulatory provisions on the content of Operational Programmes.

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999

Article	Subject Matter	OP Chapter
37.1.a	<i>an analysis of the situation of the eligible area or sector in terms of strengths and weaknesses and the strategy chosen in response</i>	1 and 2
37.2.b	<i>a justification of the priorities chosen having regard to the Community Strategic Guidelines on cohesion, the national strategic reference framework, as well as the results from the ex ante evaluation</i>	2
37.2.c	<i>information on the priority axes and their specific targets. Those targets shall be quantified using a limited number of indicators for output and results, taking into account the proportionality principle. The indicators shall make it possible to measure the progress in relation to the baseline situation and the effectiveness of the targets implementing the priority axis;</i>	3
37.2.d	<i>for information purposes, an indicative breakdown by category of the programmed use of the contribution of the Funds to the operational programme</i>	2 (To be added to final version of OP)

Continued

Article	Subject Matter	OP Chapter
37.2.e	<i>a financing plan containing two tables: i. a table breaking down for each year, in accordance with Articles 52, 53 and 54, the amount of the total financial appropriation envisaged for the contribution from each Fund. The financing plan shall show separately within the total annual contribution from the Structural Funds the appropriations provided for regions receiving transitional support. The total contribution from the Funds provided for annually shall be compatible with the applicable financial framework taking into account the phased reduction laid down in paragraph 6 of Annex II ii. a table specifying, for the whole programming period, for the operational programme and for each priority axis, the amount of the total financial appropriation of the Community contribution and the national counterparts and the rate of contribution from the Funds. Where in accordance with Article 53, the national counterpart is made up of public and private expenditure, the table shall give the indicative breakdown between the public and the private components. Where in accordance with Article 53, the national counterpart is made up of public expenditure, the table shall indicate the amount of the national public contribution. It shall show, for information, the contribution from the EIB and the other existing financial instruments</i>	5 (To be added to final version of OP)
37.2.f	<i>information on complementarity with measures financed by the EAFRD and those financed by the EFF, where relevant</i>	4.13.2
37.2.g.i	<i>designation by the Member State of all the entities stipulated in Article 59 or, if the Member State exercises the option provided for in Article 74, the designation of other bodies and procedures in accordance with the rules laid down in Article 74</i>	4.2
37.2.g.ii	<i>a description of the monitoring and evaluation systems</i>	4.3
37.2.g.iii	<i>information about the competent body for receiving the payments made by the Commission and the body or bodies responsible for making payments to the beneficiaries</i>	4.4
37.2.g.iv	<i>a definition of the procedures for the mobilisation and circulation of financial flows in order to ensure their transparency</i>	4.5
37.2.g.v	<i>the elements aiming at ensuring the publicity and the information of the operational programme</i>	4.6
37.2.g.vi	<i>a description of the procedures agreed between the Commission and the Member State for the exchange of computerised data to meet the payment, monitoring and evaluation requirements laid down by this Regulation</i>	4.7
37.2.h	<i>an indicative list of major projects within the meaning of Article 38, which are expected to be submitted within the programming period for Commission approval</i>	Not applicable
37.2.i	<i>an indicative list of the proposed aid schemes, under Article 87 of the Treaty, which are expected to be submitted within the programming period for Commission approval</i>	4.14

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Article	Subject Matter	OP Chapter
37.3	<i>...each operational programme under the “Regional competitiveness and employment” objective shall include a justification for the thematic, geographical and financial concentration on the priorities as laid down...in Article 4 of [the ESF] Regulation</i>	2

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999

Article	Subject Matter	OP Chapter
4.1	<i>The Member States shall ensure that the action supported by the ESF is consistent with and contributes to actions undertaken in pursuance of the European Employment Strategy. In particular, they shall ensure that the strategy set out in the National Strategic Reference Framework and the actions set out in the operational programmes promote the objectives, priorities and targets of the Strategy in each Member State within the framework of the national reform programmes and national action plans for social inclusion. The Member States shall also concentrate support, where ESF can contribute to policies, on the implementation of the relevant employment recommendations made under Article 128(4) of the Treaty and of the relevant employment related objectives of the Community in the field of social inclusion, education, and training. Member States shall do so in a stable programming environment.</i>	2
4.2	<i>Within operational programmes, resources shall be directed towards the most important needs and focus on those policy areas to which ESF support can bring about a significant effect in view of the attainment of the objectives of the programme. To maximise the efficiency of ESF support, operational programmes shall, where appropriate, take particular account of the regions and localities facing most serious problems, such as deprived urban and outermost regions, declining rural and fisheries dependent areas, and those particularly adversely affected by business relocations.</i>	1 and 2
6	<i>The Member States shall ensure that operational programmes include a description on how gender equality and equal opportunities are promoted in the, preparation, implementation, monitoring and in the evaluation of operational programmes.</i>	4.9

