

# Financial Assistance Scheme Notification of Exemption Form

**Purpose of this form:**

Trustees should use this form to notify us of any qualifying FAS scheme which they believe has an exemption under regulation 2(1)(a) of the Financial Assistance Scheme (Halting Annuitisation) Regulations 2007, namely that they entered into a 'binding commitment' to purchase annuities prior to 26th September 2007.

1. *Full scheme name:*

2. *Name of trustee(s):*

3. *Total scheme assets (£)s:*

4. *Assets (£) being used to purchase these annuities:*

5 *Name of annuity provider(s):*

6 *Please indicate which members you are to annuitise:*

Category of members	Tick those that apply	Total number of members
All scheme members		
Pre discontinuance pensioners		
Other Pensioners		
Deferred members		

7 *What additional benefits are members entitled to **under the scheme rules?***

Please complete the table below:

Type of benefit	Tick those that apply	What rate/value would members receive under the scheme rules?
Indexation		
Spouse pension (as a % of total member pension)		
Dependant's pension (as a % of total member pension)		
Death Benefits		
Other		

8 *Please provide any additional information you consider relevant:*

**Declaration**

I intend to buy annuities on behalf of the members of this scheme indicated in part 5 of this form and I entered into a binding commitment to purchase these annuities prior to 26th September 2007. If I intend to purchase annuities with indexation I will keep you informed.

*Signature:*

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*Print name:*

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*Relationship to the scheme:*

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*Date:*

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***The completed form should now be returned to:***  
The FAS Operational Unit  
PO Box 702  
York  
YO32 9XR

**FAS USE ONLY**

I acknowledge that the trustees of the scheme are claiming an exemption under regulation 2(1) of the Financial Assistance Scheme (Halting Annuitisation) Regulations 2007.

Signed:

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Pat Ferguson (Mrs)  
Head of Operational Unit

Date: